

# FATCA FAQs

## XML Validation

The following quoted messages are the error messages that will be received when the error condition(s) is met.

1. **"The GIIN entered at the start of this case and the GIIN in the SendingCompanyIN tag of the XML file do not match."**

This means that the GIIN used to start the FATCA Case on RAIS is different from the GIIN set in the SendingCompanyIN tag of the XML file.

2. **"Invalid Schema Version. The current FATCA Schema version is 1.1"**

This indicates that the version attribute in the header tag needs to be present. The following attribute should be present in the FATCA\_OECD tag: version="1.1"

3. **"Invalid GIIN in the <SendingCompanyIN> element. GIIN not found in list of FIs from the IRS"**

This indicates that the GIIN in the SendingCompanyIN tag in the header of the XML does not exist in our master list of GIINs.

4. **"Invalid or missing GIIN in the <SendingCompanyIN> element."**

This error means that no value was found in the SendingCompanyIN tag in the header of the XML so validation could not occur.

5. **"Invalid or missing Transmitting Country in <TransmittingCountry> element."**

This error indicates that the Country Code was less or more than 2 characters which would make it invalid.

6. **"Invalid or missing Receiving Country in <ReceivingCountry> element."**

This error indicates that the Country Code was less or more than 2 characters which would make it invalid.

7. **"Invalid or missing Message Type in <MessageType> element."**

This error indicates that the message type tag does not contain the value "FATCA".

8. **"Invalid or missing Message Id in <MessageRefId> element."**

This indicates that the MessageRefId tag contains no value.

9. **"Invalid or missing Reporting Period in <ReportingPeriod> element."**

This indicates that the reporting period tag value is missing.

10. **"One or more invalid TINs were found in the file at element <FATCA><ReportingFI><TIN>"**

This indicates that the TIN is not a valid GIIN in the Master list.

**11. "One or more invalid names were found in the file at element <FATCA><ReportingFI><Name>"**

This indicates that the name(s) are missing or not set to a value.

**12. "One or more invalid Doc Type were found in the file at element <FATCA><ReportingGroup><AccountReport><DocSpec><DocTypeIndic>"**

If the DocTypeIndic Tag is set as nothing (no value) then this error will be present.

**13. "One or more invalid Account Holder were found in the file at element <FATCA><ReportingGroup><AccountReport></AccountHolder>"**

If the AccountHolder Tag is set as nothing (no value) then this error will be present.

**14. "One or more invalid Account numbers were found in the file at element <FATCA><ReportingGroup><AccountReport><AccountNumber>."**

If the AccountNumber Tag is set as nothing (no value) then this error will be present.

**15. "One or more invalid Doc Ref Id nodes were found in the file at element <FATCA><ReportingGroup><AccountReport><DocSpec><DocRefId>."**

If the DocRefId Tag is set as nothing (no value) then this error will be present.

**16. "One or more invalid Account Balance nodes were found in the file at element <FATCA><ReportingGroup><AccountReport><AccountBalance>."**

If the AccountBalance Tag is set as nothing (no value) then this error will be present.